

**CALIFORNIA GAMBLING CONTROL COMMISSION
2008 RULEMAKING CALENDAR**

SCHEDULE A: PROPOSED REGULATIONS IMPLEMENTING STATUTES ENACTED DURING THE YEAR 2007

Subject: License and Registration Fees; Two-Year License Renewals for Third-Party Providers of Proposition Player Services and Gambling Businesses	CCR Title & Sections Affected: Title 4, §§ 12008, 12101, 12122, 12202, 12203A, 12203.2, 12205.1, 12220.20A, 12222, 12301, 12309, 12342, 12343, 12344 and 12345	Statutes Being Implemented: Chapter 438, Statutes of 2007 (SB 730, Florez) Business and Professions Code §§ 19876, 19915, 19951, 19984			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Jan 2008	Hearing: Mar 2008	Adoption: Jul 2008	To OAL: Aug 2008

Subject: Personal Key Employee Licenses	CCR Title & Sections Affected: Title 4, § (new) 12346; (amend) 12347	Statutes Being Implemented: Chapter 438, Statutes of 2007 (SB 730, Florez) Business and Professions Code § 19854			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Jul 2008	Hearing: Sep 2008	Adoption: Dec 2008	To OAL: 2009

SCHEDULE B: PROPOSED REGULATIONS IMPLEMENTING STATUTES ENACTED PRIOR TO THE YEAR 2007

Subject: Two-Year License Renewals for Gambling Establishments and Key Employees	CCR Title & Sections Affected: Title 4, §§ 12335, 12340, 12342, 12343, 12344, 12345, 12357, 12358, 12359, 12370, 12400 and 12403.	Statutes Being Implemented: Bus & Profs. Code §§ 19800, 19805, 19811, 19824, 19826, 19827, 19841, 19850, 19851, 19854, 19856, 19857, 19858, 19859, 19876, 19880, 19890, 19920, 19941 and 19951.			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Herb Bolz (916) 263-0490	Projected Dates:			
		Notice: Completed	Hearing: Completed	Adoption: Jan 2008	To OAL: Jan 2008

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: General Terms, Conditions and Definitions; Policies and Procedures; Drop and Timed Collection; Count and Count Room Functions; and Cage Functions.	CCR Title & Sections Affected: Title 4, §§ (new) 12380, 12381, 12384, 12385 and 12386.	Statutes Being Implemented: Bus & Profs. Code §§ 19826, 19827, 19840, 19841, 19920, 19921, 19924, 19930 and 19943.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Jan 2008	Hearing: Mar 2008	Adoption: Jul 2008	To OAL: Aug 2008

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Security and Surveillance.	CCR Title & Sections Affected: Title 4, §§ (new) 12396 and 12397.	Statutes Being Implemented: Bus & Profs. Code §§ 19827, 19841, 19912, 19922 and 19924.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Feb 2008	Hearing: Apr 2008	Adoption: Aug 2008	To OAL: Sep 2008

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Adequate Financing; Player/Dealer Banks; and Jackpots.	CCR Title & Sections Affected: Title 4, §§ (new) 12389, 12391 and 12392.	Statutes Being Implemented: Bus & Profs. Code §§ 19841, 19920 and 19824.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Mar 2008	Hearing: May 2008	Adoption: Sep 2008	To OAL: Oct 2008

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Gambling Floor Operations and Table Games; Tournaments and Special Events.	CCR Title & Sections Affected: Title 4, §§ (new) 12393 and 12394.	Statutes Being Implemented: Bus & Profs. Code §§ 19841, 19920 and 19824.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Apr 2008	Hearing: Jun 2008	Adoption: Oct 2008	To OAL: Nov 2008

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Chips, Cards and Other Gambling Equipment.	CCR Title & Sections Affected: Title 4, § (new) 12395.	Statutes Being Implemented: Bus & Profs. Code §§ 19841, 19920 and 19824.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: May 2008	Hearing: Jul 2008	Adoption: Nov 2008	To OAL: Dec 2008

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Personnel and Tips.	CCR Title & Sections Affected: Title 4, §§ (new) 12382 and 12383.	Statutes Being Implemented: Bus & Profs. Code §§ 19841, 19920 and 19824.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Jun 2008	Hearing: Aug 2008	Adoption: Dec 2008	To OAL: 2009

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Checks, Credit; Unclaimed or Abandoned Property.	CCR Title & Sections Affected: Title 4, §§ (new) 12381, 12410.	Statutes Being Implemented: Bus & Profs. Code §§ 19841, 19920 and 19824.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: Heather Hoganson (916) 263-6328	Projected Dates:			
		Notice: Jan 2008	Hearing: Mar 2008	Adoption: June 2008	To OAL: July 2008

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Information Technology Systems.	CCR Title & Sections Affected: Title 4, § (new) 12398.	Statutes Being Implemented: Bus & Profs. Code §§ 19841, 19920 and 19824.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Aug 2008	Hearing: Oct 2008	Adoption: 2009	To OAL: 2009

Subject: Licensing Issues: Application Abandonment, Withdrawal, Denial and Appeal of Denial.	CCR Title & Sections Affected: Title 4, §§ 12002, 12047, 12048, 12050 and 12348.	Statutes Being Implemented: Bus & Profs. Code §§ 19823, 19850, 19851, 19852, 19857, 19858, 19859, 19860, 19862, 19863, 19867, 19868, 19870, 19883, 19892, 19952, and 19960.			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Heather Hoganson (916) 274-6328	Projected Dates:			
		Notice: Nov 2007	Hearing: None Scheduled	Adoption: Apr 2008	To OAL: May 2008

Subject: Licensing of Gambling Establishment Manufacturers and Distributors.	CCR Title & Sections Affected: Title 4, §§ 12300, 12301, 12301.1, 12302, 12303, 12304, 12305, 12306, 12308, 12309, 12310 and 12320 – 12328.	Statutes Being Implemented: Bus & Profs. Code §§ 19823, 19825, 19826, 19827, 19840, 19841, 19844, 19845, 19846, 19851, 19852, 19853, 19856, 19857, 19859, 19865, 19866, 19867, 19868, 19876, 19920, 19930 and 19951			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Feb 2008	Hearing: Apr 2008	Adoption: Aug 2008	To OAL: Sep 2008

Subject: Accounting and Financial Reporting	CCR Title & Sections Affected: Title 4, §§ 12400, 12401, 12402, 12403, 12404, 12405, 12406.	Statutes Being Implemented: Bus & Profs. Code §§ 19805 and 19841.			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Herb Bolz (916) 263-0490	Projected Dates:			
		Notice: Apr 2008	Hearing: Jun 2008	Adoption: Oct 2008	To OAL: Nov 2008

Subject: Third-Party Providers of Proposition Player Services; Licensing.	CCR Title & Sections Affected: Title 4, §§ 12200-12237	Statutes Being Implemented: Bus & Profs. Code §§ 19805, 19824, 19841, 19851, 19853, 19867, 19868, 19869, 19870, 19876, 19930, 19951 and 19984.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Apr 2008	Hearing: Jun 2008	Adoption: Oct 2008	To OAL: Nov 2008

Subject: Request for Approval Regarding Security Interests and Secured Transactions	CCR Title & Sections Affected: Title 4, § (new) 12355.	Statutes Being Implemented: Bus & Profs. Code §§ 19852, 19853, 19901, 19902, and 19904.			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Heather Hoganson (916) 274-6328	Projected Dates:			
		Notice: Jan 2008	Hearing: None scheduled	Adoption: Aug 2008	To OAL: Sep 2008

Subject: Emergency Preparedness and Evacuation Plan / Security Plan	CCR Title & Sections Affected: Title 4, § 12370, 12371.	Statutes Being Implemented: Bus & Profs. Code §§ 19801, 19823, 19841, 19860, 19920 and 19924.			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Herb Bolz (916) 263-0490	Projected Dates:			
		Notice: Feb 2008	Hearing: Apr 2008	Adoption: Jun 2008	To OAL: Jul 2008

Subject: Temporary Work Permits	CCR Title & Sections Affected: Title 4, §§ 12120, 12122, 12124, 12126 and 12128.	Statutes Being Implemented: Bus & Profs. Code §§ 10, 19801, 19811, 19816, 19823, 19824, 19859, 19866, 19910, 19912,			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Jun 2008	Hearing: Aug 2008	Adoption: Dec 2008	To OAL: 2009

Subject: Temporary Gambling Establishment License	CCR Title & Sections Affected: Title 4, § 12343.	Statutes Being Implemented: Bus & Profs. Code §§ 19841 and 19868.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Apr 2008	Hearing: Jun 2008	Adoption: Oct 2008	To OAL: Nov 2008

Subject: Grounds for License Denial Based Upon Crimes of Moral Turpitude	CCR Title & Sections Affected: Title 4, § (new) 12049.	Statutes Being Implemented: Bus & Profs. Code §§ 19841, 19857 and 19859.			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Herb Bolz (916) 263-0490	Projected Dates:			
		Notice: May 2008	Hearing: Jul 2008	Adoption: Nov 2008	To OAL: Dec 2008

Subject: Statewide Involuntary Exclusion / Ejection List	CCR Title & Sections Affected: Title 4, § (new) 12362.	Statutes Being Implemented: Bus & Profs. Code §§ 19844, 19845 and 19940.			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Heather Hoganson (916) 274-6328	Projected Dates:			
		Notice: Jan 2008	Hearing: None scheduled	Adoption: Jul 2008	To OAL: Aug 2008

Subject: Interim Key Employees in Corporate-Owned Card Rooms; Authority to Work While Application Pending.	CCR Title & Sections Affected: Title 4, § 12348.	Statutes Being Implemented: Bus & Profs. Code §§ 19805, 19850, 19855, 19856, 19857, 19859, 19866, 19870, and 19883.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Jun 2008	Hearing: Aug 2008	Adoption: Dec 2008	To OAL: 2009

Subject: Redundant Definitions: "Executive Director," and "Gambling Establishment" or "Establishment."	CCR Title & Sections Affected: Title 4, §§ 12100 and 12300.	Statutes Being Implemented: Bus & Profs. Code §§ 10 and 19816.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: Mar 2008	Hearing: May 2008	Adoption: Sep 2008	To OAL: Oct 2008

Subject: Request for Approval of New or Amended / Restated Articles of Incorporation	CCR Title & Sections Affected: Title 4, § 12354	Statutes Being Implemented: Bus & Profs. Code § 19881			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Heather Hoganson (916) 274-6328	Projected Dates:			
		Notice: May 2008	Hearing: July 2008	Adoption: Sept 2008	To OAL: Nov 2008

Subject: Request for Approval of Transactions – Stock Purchases, Transfer of Shares, Purchase and Sales Agreements	CCR Title & Sections Affected: Title 4, § 12353	Statutes Being Implemented: Bus & Profs. Code §§ 19852, 19853, 19901, 19902, and 19904.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	Projected Dates:			
		Notice: May 2008	Hearing: July 2008	Adoption: Sept 2008	To OAL: Nov 2008

Subject: Request for Gaming Activity Authorization	CCR Title & Sections Affected: Title 4, § 12356	Statutes Being Implemented: Bus & Profs. Code § 19841(b)			
Responsible Agency Unit: Legal Division	Contact Person & Phone Number: Heather Hoganson (916) 274-6328	Projected Dates:			
		Notice: May 2008	Hearing: July 2008	Adoption: Sep 2008	To OAL: Nov 2008

Report on the status of all uncompleted rulemaking described on previous calendars:

- Minimum Internal Control Standards (MICS):
 - a. Adequate financing/chip account and player/dealer banks.
 - b. Definitions, tiers, surveillance and related topics.
 - c. Tournaments/special events; Gaming floor operations and table games; Chips, cards and other equipment.

Conceptual planning and drafting continuing. MICS separated into focused categories (see pages 1-3 above). Public/Industry workshop on the first phase held in December 2008.

- Accounting and Financial Standards:

Conceptual planning and drafting continuing. Some elements may be addressed in the MICS regulations.

- Licensing Issues:

- a. Renewals and temporary card room licenses.
The Two-Year renewal package had notice and two 15-day change periods in 2007. It is estimated to be completed in early 2008. Temporary licenses are still in the conceptual planning stage.
- b. Processing timelines for temporary work permits.
Remains in the conceptual planning and drafting stage.
- c. Circumstances under which landlords, trusts, trustors, trustees, and trust beneficiaries must be licensed.
The Two-Year renewal package covers trusts, trustors, trustees, and trust beneficiaries and should be completed in 2008. Regulations dealing with licensing of landlords are still in the conceptual planning stage.
- d. Abandonment, withdrawal and denial of applications.
Previous Notice expired. Re-noticed for new 45-day comment period in November 2007. This rulemaking is estimated to be completed in early 2008.

- Extensions of Credit, Check Cashing, ATMs and Unclaimed Property:

Notice was filed with OAL on December 31, 2007 and is expected to be published in the Notice Register on January 25, 2008.

- Gaming Activity Authorization:

In final drafting stages.

<ul style="list-style-type: none"> • Proposition Players and Gambling Businesses: <p>Final review of rulemaking documents for license terms pending (see Schedule A).</p>
<ul style="list-style-type: none"> • Involuntary Exclusion or Ejection of Individuals from Gambling Establishments: <p>In light of pending legislation in 2007, rulemaking activity was suspended. Notice was filed with OAL on December 18, 2007, and Notice is set to be published January 11, 2008.</p>
<ul style="list-style-type: none"> • Gambling Equipment Manufacturers and Distributors; Gambling Device Testing & Transportation, amendments to existing regulations: <p>In the conceptual planning stage.</p>
<ul style="list-style-type: none"> • Emergency Preparedness and Evacuation Plans: <p>Pending review by and concurrence of the State Fire Marshal. Notice expected to be filed in February 2008.</p>
<ul style="list-style-type: none"> • Transaction Approvals; Loans, Stock Pledges, Transfer of Shares, Card Room Purchase and Sales Agreements: <p>These topics were separated, with the Notice for Loans (Security Interests and Secured Transactions) filed with OAL on December 18, 2007, and set to be published January 18, 2008. The remaining items are still in the conceptual planning stage.</p>
<ul style="list-style-type: none"> • <i>Ex Parte</i> Communications: <p>Combined with abandonment, withdrawal and denial of applications. Re-noticed for 45-day comment period in November 2007.</p>
<ul style="list-style-type: none"> • Crimes of Moral Turpitude in the Context of Review of License Applications: <p>Remains in the conceptual planning and drafting stage.</p>